



Attorney Docket No. 0756-7218

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent Application of:

Shunpei YAMAZAKI et al.

Serial No. 10/712,062

Filed: November 14, 2003

For: SEMICONDUCTOR DEVICE AND  
METHOD OF FABRICATING SAME

) Group Art Unit: 2826

) Examiner: Benjamin T. Liu

) CERTIFICATE OF MAILINGI hereby certify that this correspondence is  
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INFORMATION DISCLOSURE STATEMENTHonorable Commissioner of Patents  
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Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Copies of U.S. patents and U.S. publications are not enclosed in accordance with the Notice published in the Official Gazette on August 5, 2003 entitled *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*, which waives the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. publication.

A check in the amount of \$180 is being submitted to comply with the provisions of 37 C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /BTL/

- 2 -

Application Serial No. 10/712,062  
Attorney Docket No. 0756-7218

required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Robt L. Pilaud", written over a horizontal line.

Robert L. Pilaud  
Reg. No. 53,470

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary) JUL 23 2007 U.S. PATENT & TRADEMARK OFFICE		Application Number	10/712,062
		Filing Date	November 14, 2003
		First Named Inventor	Shunpei YAMAZAKI et al.
		Group Art Unit	2826
		Examiner Name	Benjamin T. Liu
Sheet 1 of 1	Attorney Docket Number	0756-7218	

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
/BTL/		2006/0249730		Yamazaki et al.	11/09/2006	
		5,897,347		Yamazaki et al.	04/27/1999	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
/BTL/		EP	0 612 102			08/24/1994		Eng.
/BTL/		EP	1 119 053			07/25/2001		Eng.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
Examiner Signature	/Benjamin T Liu/		Date Considered 05/01/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /BTL/